Patentability (U.S. Edition)

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U.S. Patent Law – Background

- Patents Authorized U.S. Constitution
- Acts of Congress
 - Patent Act of 1952
 - America Invents Act (AIA) of 2011
 - Codified in Title 35 United States Code (35 U.S.C.)
- Federal Law: applies in all of the United States, its territories and possessions
- United States Patent & Trademark Office (U.S.P.T.O.)
 - Examines patent applications and issues U.S. Patents
 - Patent regulations: Title 37 Code of Federal Regulations (37 C.F.R.)
 - Patent Trial and Appeal Board



U.S. Patent Law – Background - Courts

- Interpret the patent laws when deciding disputes involving patent law and appeals from U.S.P.T.O. decisions.
 - United States District Courts (Trial courts)
 - United States Court for the Eastern District of Virginia
 - Court of Federal Claims
 - Court of Appeals for the Federal Circuit
 - Panel Decisions
 - En banc Decisions
 - Supreme Court of the United States
- Patentability and invalidity:
 - Patentability: whether a patent can be granted for a claim.
 - Invalidity: unpatentable claim in an issued patent.



Requirements for Patentability - Summary

- Patentable Subject Matter (35 U.S.C. § 101).
- Novelty (35 U.S.C. § 102).
- Non-obviousness (35 U.S.C. § 103).
- Disclosure requirements (35 U.S.C. § 112).
 - Written description of the invention.
 - Enable person of ordinary skill in the art to make/use the invention.
 - Definiteness (claims must be clear).
 - Best mode of carrying out the claimed invention.



Patentable Subject Matter (35 U.S.C. § 101)

• 35 U.S.C. § 101.

Whoever invents or discovers any new and useful process, machine, manufacture, or composition of matter, or any new and useful improvement thereof, may obtain a patent therefor, subject to the conditions and requirements of this title.

- New & useful:
 - Articles of Manufacture.
 - Compositions of Matter.
 - Processes / Methods.
 - Improvements of any of the above.



Patentable Subject Matter Exceptions

- Exceptions to Patentable Subject Matter.
 - Laws of Nature.
 - Physical Phenomena.
 - Abstract Ideas.
 - "No patent may issue on a claim directed to or encompassing a human organism." (AIA § 33).
- Examples of Exceptions.
 - Electromagnetism (O'Reilly v. Morse, 56 U.S. 62 (1854)).
 - Computer Algorithm (*Gottschalk v. Benson*, 409 U.S. 63 (1972)).
 - Transient Signals (*In re Nuijten*, 515 F.3d 1361(Fed. Cir. 2008)).
 - Method that only embodies an abstract concept such as hedging risk (*Bilski v. Kappos*, 561 U.S. 130 (2010)).
- No Exception for Methods of Treatment or Diagnostic Methods.



Diamond v. Chakrabarty (1980).

- 447 U.S. 303 (1980). U.S. Supreme Court.
- Claims directed to a genetically modified bacterium that was useful for treating oil spills.
- Held: A living, man-made micro-organism is patentable subject matter as a "manufacture" or "composition of matter."
 - The fact that the organism is alive does not bar to patentability.
- In choosing such expansive terms as "manufacture" and "composition of matter" modified by the comprehensive "any" Congress plainly contemplated that the patent laws would be given wide scope.
- "Congress intended statutory subject matter to 'include anything under the sun that is made by man.'" *Diamond v. Chakrabarty*, 447 U.S. 303, 309 (1980).



Mayo Collaborative Services v. Prometheus Labs., Inc. (2012).

- 132 S.Ct. 1289, 566 U.S. (2012). U.S. Supreme Court.
- Claims directed to a method of giving a drug to a patient, measuring metabolites of that drug, and determining threshold levels for increasing or decreasing the amount of drug were not patentable subject matter.

A method of optimizing therapeutic efficacy for treatment of an immune-mediated gastrointestinal disorder, comprising:

(a) **administering a drug** providing 6-thioguanine to a subject having said immunemediated gastrointesti-nal disorder; and

(b) **determining the level of [metabolite]** 6-thioguanine in said subject having said immune-mediated gastrointesti-nal disorder,

wherein the **level of 6-thioguanine less than about 230 pmol per 8x108 red blood cells indicates a need to increase the amount** of said drug subsequently admin-istered to said subject and wherein the **level of 6-thioguanine greater than about 400 pmol per 8x108 red blood cells indicates a need to decrease the amount** of said drug subsequently ad-ministered to said subject.

• The **correlation** between the naturally-produced metabolites and therapeutic efficacy and toxicity was an **unpatentable** "natural law"



Association for Molecular Pathology v. Myriad Genetics, Inc. (2013).

- 133 S. Ct. 2107, 569 U.S. (2013) U.S. Supreme Court.
- Concerned with patents claiming isolated DNA sequences of BRCA1 and BRCA2 genes associated with breast cancer.
- A naturally occurring DNA sequence is a product of nature and not patent eligible merely because it has been isolated.

Myriad's claims [are not] saved by the fact that isolating DNA from the human genome severs chemical bonds and thereby creates a nonnaturally occurring molecule. Myriad's claims are ... not expressed in terms of chemical composition, nor do they rely in any way on the chemical changes that result from the isolation of a particular section of DNA. Instead, the claims ... focus on the genetic information encoded in the BRCA1 and BRCA2 genes.

 Complementary DNA ("cDNA") whose sequence is not identical to a naturally occurring sequence is patent eligible because it is not naturally occurring.



Novelty (35 U.S.C. § 102)

- **Basic Rule**: Invention (as defined in claim) not patentable if invention within the scope of the claim is known in the "prior art".
- Section 102 defines scope of "prior art."
- Was recently modified by AIA to implement "first to file" rule for patents filed after March 15, 2013.



35 U.S.C. § 102

(a) Novelty; Prior Art.— A person shall be entitled to a patent unless—

(1) the claimed invention was patented, described in a printed publication, or in public use, on sale, or otherwise available to the public before the effective filing date of the claimed invention; or

(2) the claimed invention was described in a patent issued under section 151, or in an application for patent published or deemed published under section 122 (b), in which the patent or application, as the case may be, names another inventor and was effectively filed before the effective filing date of the claimed invention.

(b) Exceptions.-

(1) Disclosures made 1 year or less before the effective filing date of the claimed invention.— A disclosure made 1 year or less before the effective filing date of a claimed invention shall not be prior art to the claimed invention under subsection (a)(1) if—

(A) the disclosure was made by the inventor or joint inventor or by another who obtained the subject matter disclosed directly or indirectly from the inventor or a joint inventor; or

(B) the subject matter disclosed had, before such disclosure, been publicly disclosed by the inventor or a joint inventor or another who obtained the subject matter disclosed directly or indirectly from the inventor or a joint inventor.

(2) Disclosures appearing in applications and patents.— A disclosure shall not be prior art to a claimed invention under subsection (a)(2) if—

(A) the subject matter disclosed was obtained directly or indirectly from the inventor or a joint inventor;

(B) the subject matter disclosed had, before such subject matter was effectively filed under subsection (a)(2), been publicly disclosed by the inventor or a joint inventor or another who obtained the subject matter disclosed directly or indirectly from the inventor or a joint inventor; or

(C) the subject matter disclosed and the claimed invention, not later than the effective filing date of the claimed invention, were owned by the same person or subject to an obligation of assignment to the same person.

(c) Common Ownership Under Joint Research Agreements.— Subject matter disclosed and a claimed invention shall be deemed to have been owned by the same person or subject to an obligation of assignment to the same person in applying the provisions of subsection (b)(2)(C) if—

(1) the subject matter disclosed was developed and the claimed invention was made by, or on behalf of, 1 or more parties to a joint research agreement that was in effect on or before the effective filing date of the claimed invention;

(2) the claimed invention was made as a result of activities undertaken within the scope of the joint research agreement; and

(3) the application for patent for the claimed invention discloses or is amended to disclose the names of the parties to the joint research agreement.

(d) Patents and Published Applications Effective as Prior Art.— For purposes of determining whether a patent or application for patent is prior art to a claimed invention under subsection (a)(2), such patent or application shall be considered to have been effectively filed, with respect to any subject matter described in the patent or application—

(1) if paragraph (2) does not apply, as of the actual filing date of the patent or the application for patent; or

(2) if the patent or application for patent is entitled to claim a right of priority under section 119, 365 (a), or 365 (b), or to claim the benefit of an earlier filing date under section 120, 121, or 365 (c), based upon 1 or more prior filed applications for patent, as of the filing date of the earliest such application that describes the subject matter.



Novelty (35 U.S.C. § 102)

- Under AIA, prior art includes any of the following prior to *filing date* of application:
 - Printed publication.
 - In public use (worldwide).
 - On sale (worldwide).
 - Otherwise available to the public.
 - Described in issued patent or published patent application with *effective filing date* before filing date of patent application.
- Exceptions for:
 - Disclosure by inventor (or another who obtained subject matter from inventor) 1 year or less prior to filing application.
 - Earlier filed patent disclosures obtained from inventor, or in patent or application which is commonly owned with claimed invention or owned by party to common research agreement.



Applying Novelty Test to a Claim

- "A claim is anticipated only if **each and every element as set forth in the claim** is found, either expressly or inherently described, in a single prior art reference." *Verdegaal Bros. v. Union Oil Co. of California*, 814 F.2d 628, 631 (Fed. Cir. 1987).
- "When a claim covers several structures or compositions, either generically or as alternatives, the claim is deemed anticipated if any of the structures or compositions within the scope of the claim is known in the prior art." *Brown v. 3M*, 265 F.3d 1349, 1351 (Fed. Cir. 2001).
- All features of a claim need **not be disclosed expressly if the missing feature is inherent** in what is described in the reference.
 - [E]vidence must make clear that the missing descriptive matter is **necessarily present** in the thing described in the reference." *Continental Can Co. USA v. Monsanto Co.,* 948 F.2d 1264 (Fed. Cir. 1991).



Non-Obviousness (35 U.S.C. § 103)

• Invention is also not patentable if claimed invention **would have been obvious to one skilled in the art** over prior art (as defined in § 102).

A patent for a claimed invention may not be obtained, notwithstanding that the claimed invention is not identically disclosed as set forth in section 102, if the differences between the claimed invention and the prior art are such that the claimed invention as a whole would have been obvious before the effective filing date of the claimed invention to a person having ordinary skill in the art to which the claimed invention pertains. Patentability shall not be negated by the manner in which the invention was made.

• Factual enquiries to determine obviousness (*Graham v. John Deere*, 383 U.S. 1 (1966):

(A) Ascertaining the scope and content of the prior art; and

(B) Ascertaining the differences between the claimed invention and the prior art; and

(C) Resolving the level of ordinary skill in the pertinent art.

Considering objective evidence relevant to obviousness ("secondary considerations"), e.g.

- Evidence of commercial success
- Long-felt but unsolved needs
- Failure of others
- Unexpected results.



35 U.S.C. § 112

(a) In General.— The specification shall contain a written description of the invention, and of the manner and process of making and using it, in such full, clear, concise, and exact terms as to enable any person skilled in the art to which it pertains, or with which it is most nearly connected, to make and use the same, and shall set forth the best mode contemplated by the inventor or joint inventor of carrying out the invention.

(b) **Conclusion.**— The specification shall conclude with one or more claims **particularly pointing out and distinctly claiming** the subject matter which the inventor or a joint inventor regards as the invention.

- Requirements:
 - Written description requirement must describe the claimed invention.
 - Enablement requirement must enable the person skilled in the art to make and use the invention.
 - **Best mode requirement** must disclose the best mode of carrying out the invention.
 - **Clarity requirement** claims must not be indefinite or ambiguous.



Written Description Requirement

- Patent must provide written description of what the claimed invention is.
- Potential Issue with functional claims.
 - Ariad Pharmaceuticals v. Eli Lilly & Co. 598 F. 3d 1336(Fed. Cir. 2010). Inadequate WD to support genus claims encompassing use of all substances which reduce NF-κB binding but did not identify actual compounds which performed the function.
- Also guards against claiming new matter not disclosed in original application.



Enablement Requirement

- Patent must include description that enables person of ordinary skill in the art to make and use claimed invention without undue experimentation.
- Potential when claims are broad relative to working examples, the art is unpredictable, or the use is speculative.
- Factors considered (In re Wands, 858 F.2d 731 (Fed. Cir. 1988).
 - Breadth of the claims;
 - Nature of the invention;
 - State of the prior art;
 - Level of one of ordinary skill;
 - Level of predictability in the art;
 - Amount of direction provided by the inventor;
 - Existence of working examples; and
 - Quantity of experimentation needed to make or use the invention based on the content of the disclosure.



Best Mode Requirement

- Patent must describe the best mode contemplated by the inventors of carrying out the invention at the time of filing.
- Best mode does not have to be identified.
- Under AIA patents may no longer be held invalid or unenforceable for failure to disclose the best mode, but best mode remains a requirement for patentability.



Clarity Requirement

- Patent claims must be clear.
- *Teva Pharms USA, Inc. v. Sandoz, Inc.*, 723 F.3d 1363 (Fed. Cir. 2013).
 - Claims that defined the molecular weight distribution of a polymer in terms of an average M.W. were indefinite because there were different ways of measuring average M.W. and it was not possible to determine which was meant from the specification and file history.
 - M_p (peak M.W.); M_n (number average M.W.); M_p (wt. average M.W.).
 - M_p , M_n and M_w may have different values for a given polymer.
 - Inconsistent arguments were made during prosecution of the patent.
 - Claims that defined the M.W. distribution in terms of the percentage of molecules falling in a given M.W. range were not indefinite because the M.W. defining the range were exact values not statistical measures.



Case Studies – Obviousness of Chemical Inventions



35 U.S.C. § 103(a)

- A patent for a claimed invention may not be obtained, notwithstanding that the claimed invention is not identically disclosed as set forth in section 102, if the differences between the claimed invention and the prior art are such that the claimed invention as a whole would have been obvious before the effective filing date of the claimed invention to a **person having ordinary skill in the art ("PHOSITA")** to which the claimed invention pertains.
- Prior art is the same as is considered for evaluating novelty.
- Obviousness can be based on a **combination of prior art references**.



Graham v. John Deere Co., 383 U.S. 1 (1966)

- Obviousness is determined by reference to 4 factual inquiries:
 - 1. the scope and content of the prior art;
 - 2. the differences between the claimed invention and the prior art;
 - 3. the level of ordinary skill in the art; and
 - 4. other objective indicia of non-obviousness, such as commercial success of the claimed invention, long felt but unmet need, failure of others, (unexpected results).



KSR v. Teleflex, 550 U.S. 398 (2007)

- Rejected a standard for obviousness developed by the Federal Circuit Court which required that finding of obviousness based on combination of references had to show that the prior art provided a **teaching, suggestion or motivation** to combine the prior art elements.
 - Acknowledged that was a "helpful insight".
 - But rejected "rigid application" of the test.
- Reaffirmed the *Graham* test.
- Made it easier than before for applications to be rejected or patents invalidated based on obviousness.



KSR v. Teleflex, 550 U.S. 398 (2007) (cont'd)

- Need "not seek out precise teachings directed to the specific subject matter of the challenged claim"
- However, should make obviousness analysis explicit: provide "some articulated reasoning with some rational underpinning to support the legal conclusion of obviousness"
- Can consider more than the precise problem solved by the inventors
 - "Any need or problem known in the field of endeavor at the time of the invention and addressed by the patent"
 - May be found implicitly
 - Includes "design need" and "market pressure"
 - In many fields it may be that there is little discussion of obvious techniques or combinations, and it often may be the case that market demand, rather than scientific literature, will drive design trends.



KSR v. Teleflex, 550 U.S. 398 (2007) (cont'd)

- Person of ordinary skill in the art:
 - "person of ordinary creativity, not an automaton"
 - Would consider prior art which does not address the same problem solved by the inventors.
 - "Common sense teaches...that familiar items may have obvious uses beyond their primary purposes"
 - May "fit the teachings of multiple patents together like pieces of a puzzle"
- "Obvious to try" rationale:
 - When there is a design need or market pressure to solve a problem and there are a finite number of identified, predictable solutions, a person of ordinary skill has good reason to pursue the known options within his or her technical grasp. If this leads to the anticipated success, it is likely the product not of innovation but of ordinary skill and common sense. In that instance the fact that a combination was obvious to try might show that it was obvious under § 103."



Pfizer Inc. v. Apotex Inc., 480 F.3d 1348 (Fed. Cir. 2007)

- Pfizer sued Apotex for infringement of patent which claimed amlodipine benzensulfonate (besylate) salt.
- Prior art:
 - Earlier Pfizer patent disclosed amlodipine listed 10 acid addition salts with only maleate exemplified.
 - Berge reference taught 53-FDA-approved anions for making salts.
- Pfizer scientists found maleate was sticky and chemically unstable (Michael addition to maleate C=C bond) in tablet form. Tested 7 other acid addition salts and found besylate had superior properties.
- District Court: Held claims valid (not obvious).
 - Besylate rarely used for pharmaceutically acceptable salts (0.25% of drugs)
 - No way to predict properties of salts.
 - Besylate salt had unexpectedly superior properties.



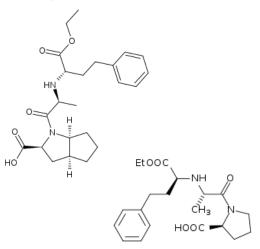
Pfizer Inc. v. Apotex Inc., 480 F.3d 1348 (Fed. Cir. 2007) (cont'd)

- Federal Circuit Court of Appeal Reversed: Held claims were invalid as obvious.
 - Person skilled in the art would have been motivated to replace maleate with anion which lacked the double bond.
 - Would have been logical to use Berge's list of anions ,which listed only a small number of anions for pharmaceutically acceptable salts, as replacements.
 - Skilled artisan would have considered besylate favorably because of its known acid strength, solubility, and other known chemical characteristics as reported in several prior art publications.
 - Prior art provided reasonable expectation that besylate salt would form and work for its intended purpose.
 - Identification of besylate salt required no more than routine testing/optimization.
 - Superiority of besylate was not unexpected because the 53 anions would be expected to have a range of properties.



Aventis Pharma Deutschland GmbH v. Lupin, Ltd., 499 F.3d 1293 (Fed. Cir. 2007)

- Claims directed to Ramipril (ACE inhibitor).
- 32 possible stereoisomers.
- Patent in suit claimed the (SSSSS) isomer.
- Prior Art:
 - Enalapril was (SSS) enantiomer and 700x more potent than (SSR) enantiomer.
 - Schering scientist prepared a mixture of (SSSSS) and (SSSSR) Ramipril and found it was active in inhibiting ACE (prior art under 35 U.S.C. 102(g))
 - Aventis licensed Schering's patent covering Ramipril.
- District Court: claims not obvious because person skilled in the art would not necessarily have been motivated to isolate (SSSSS) isomer.





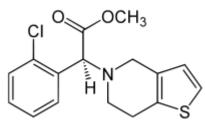
Aventis v. Lupin, Ltd. (cont'd)

- Federal Circuit: Reversed.
 - Separation *prima facie* obvious when known that desirable property of a mixture derives in whole or in part from one component, or if the prior art provides reason to believe that this is so, and purified compound is prima facie obvious over the mixture.
 - (SSSSS) obvious because (SSSSS) and (SSSSR) isomers differed in non-bridgehead atom which had (S) configuration in Enalapril: there was close analogy between (SSSSS)/(SSSSR) pair and (SSS)/(SSR) Enalapril.
 - Purified compound not always *prima facie* obvious over the mixture; *e.g.*, if unknown that the purified compound is present in or an active ingredient of the mixture, or if discovering how to perform the purification is a patentable invention.



Sanofi Synthelabo v. Apotex, 550 F.3d 1075 (Fed. Cir. 2008)

• Clopidrogel bisulfate:

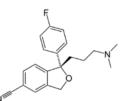


- Affirmed holding that the claims to clopidrogel bisulfate were not obvious over prior art disclosing racemic compound (as HCl salt):
 - Separation of enantiomers was difficult and unpredictable.
 - Possibility that separated enantiomer would racemize.
 - Unexpected results: (S) enantiomer has all the desired activity and neurological side effects (convulsions) were in the (R) enantiomer.
 - Distinguished *Pfizer v. Apotex* regarding the bisulfate salt:
 - In Pfizer there was evidence that narrowed possible salts to only a few.
 - Evidence teaching away from bisulfate strong acid would be expected to promote racemization

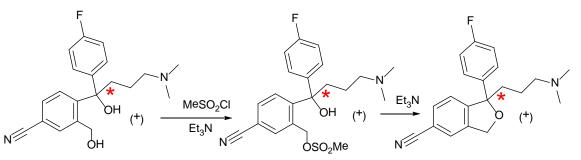


Forest Labs. Inc. v. Ivax Pharms., Inc., 501 F.3d 1263(Fed. Cir. 2007)

- (S)-(+)-citalopram:
- Prior art reference disclosed racemate



- Evidence of failed attempts to resolve citalopram by HPLC and via \bullet racemic salt.
- Inventors resolved a diol intermediate: not obvious because of possibility the resolved intermediate would re-racemize:



- Federal Circuit affirmed claims would not have been obvious:
 - PHOSITA would be motivated to develop new compounds rather than attempt difficult and unpredictable resolution of known racemate.
 - No reasonable expectation of success.



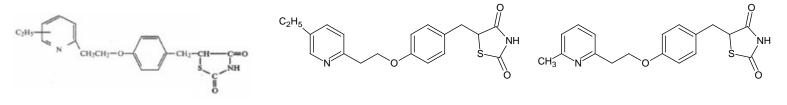
Bayer Schering Pharma AG v. Barr Labs., Inc. 575 F.3d 1341 (Fed. Cir. 2009)

- Formulation of drospirenone (steroid contraceptive)
 - Micronized and exposed to gastric environment on dissolution (not enterically coated).
- Drospirenone was acid-labile and micronization was expected to increase rate of ionization as well as absorption.
- Bayer experimented and found enteric coatings made contraceptive effectiveness more variable.
- Micronized drug without enteric coating was effective because drug was absorbed more rapidly than it isomerized.
- Federal Circuit held claims invalid as obvious:
 - Obvious to try because prior art suggested micronization would improve solubility and "normal" uncoated and enteric-coated were then limited number of options to solve problem of formulating the acid-sensitive hydrophobic drug.



Takeda Chemical Industries Ltd. v. Alphapharm Pty. Ltd., 492 F.3d 1350 (Fed. Cir. 2007).

- Patent directed to ethyl-substituted pyridine thiazolidinediones for treating type 2 diabetes, including pioglitazone.
- Prior art disclosed a genus of thiazolidinediones, and 54 examples including "compound b"
- Defendants argued pioglitazone would have been obvious because it would have ben obvious to try modifying "compound b" via homologation and "ring-walking".



Pioglitazone

"Compound b"



Takeda v. Alphapharm (Cont'd).

- District Court: claims not invalid.
 - No motivation in prior art to select compound b as a lead compound for antidiabetic research beause prior art described that compound b had toxic effects – increasing body weight and brown fat weight.
 - No motivation to make the particular modifications of homologation and ring-walking: person skilled in the art would have looked at a host of substituents, e.g. halides, in modifying the pyridine ring.
 - Nothing suggested that adding a CH₂ group or changing the position of substitution would increase efficacy or reduce toxicity.
 - Pioglitazone had **unexpected results** of non-toxicity which would overcome any prima facie case of obviousness.



Takeda v. Alphapharm (Cont'd).

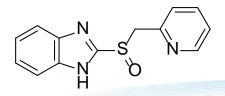
- Federal Circuit: affirmed.
 - Not obvious to try.
 - May be enough to show obviousness when that "[w]hen there is a design need or market pressure to solve a problem and there are a finite number of identified, predictable solutions".
 - Not finite. Millions of compounds within scope of reference
 - Not predictable solutions. Compound b was toxic.
 - *Prima facie* case of obviousness over structurally similar compound in the prior art ("lead compound test"):
 - Necessary to identify **some reason that would have led a chemist to modify a known compound in a particular manner** to establish prima facie obviousness of a new claimed compound.
 - Includes a preliminary finding that one of ordinary skill in the art would have **selected the known compound as a lead compound**.



Eisai Co. Ltd. v. Dr. Reddy's Labs, Ltd., 533 F.3d 1353 (Fed. Cir. 2008).

Me

- Rabeprazole (Aciphex[®]) proton pump inhibitor O-CH₂CH₂CH₂OMe
- $O-CH_2CF_3$ **Prior art** Me lansoprazole (Prevacid®) O-CH₃ Me Omeprazole (Prilosec[®]) Meo ď
 - Brändström reference disclosing a general class of anti-ulcer drugs with a benzimidazole-sulfinylmethyl-pyridine core





Eisai v. Dr. Reddy's (Cont'd).

- Federal Circuit: Affirmed summary judgment of nonobviousness.
 - Agreed lansoprazole might be a lead compound
 - 20-times superior to omeprazole in anti-ulcer action (gastric lesion assay in rats)
 - Lipophilic
 - Low molecular weight
 - No reason to modify lansoprazole by replacing the trifluoroethoxy group.
 - Lipophilicity contributed to lansoprazole being a lead compound cutting against against a finding of a reason to make the structural modification which would decrease lipophilcity.
 - No "discernible reason" that a PHOSITA would drop the "very feature...that gave this advantageous property."
 - District court did not rigidly limit Teva's obviousness arguments by forcing Teva to select a single lead compound. Teva selected lansoprazole as the anchor for its obviousness theory.



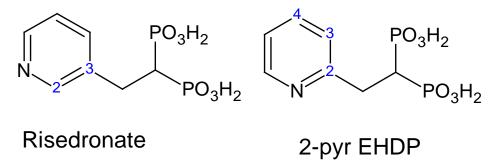
Eisai v. Dr. Reddy's (Cont'd).

- Assumptions made in KSR's "Obvious to Try" Rationale applied to Chemical Obviousness:
 - A starting reference point from which a skilled artisan might identify a problem and pursue potential solutions (e.g., reasoned identification of a lead compound)
 - The record up to the time of invention gives some reasons to make particular modifications to achieve the claimed compound.
 - The record up to the time of invention supplies some reasons for narrowing the prior art universe to a "finite number of identified, predictable solutions."
 - This may be a difficult hurdle in an unpredictable art because the solution are less likely to be genuinely predictable



Procter & Gamble Co. v. Teva Pharms. USA, Inc., 566 F.3d 989 (Fed. Cir. 2009).

- Risodrenate bisphosphonate for inhibiting bone resorption.
- Positional isomer of prior art compound 2-pyr EHDP:

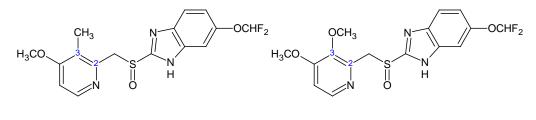


- Federal Circuit affirmed finding of non-obviousness.
 - Even if it would have been obvious to select 2-pyr EHDP as a lead compound, bisphosphonate art was unpredictable and properties of bisphosphonates not predictable from their structure.
 - 4-pyr EHDP lacked activity.
 - Not a case where there were identified, predictable solutions.



Altana Pharma AG v. Teva Pharm. USA, Inc., 566 F.3d 999, 1005 (Fed. Cir. 2009)

- Pantoprazole proton pump inhibitor.
- Prior art "compound 12" (one of 18 compounds).





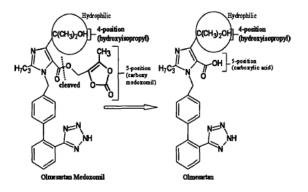
pantoprazole

- Federal Circuit affirmed denial of preliminary injunction because defendant raised substantial question of invalidity.
 - Compound 12 disclosed as potent.
 - Evidence that it was desirable to reduce pH of proton pump inhibitors to increase stability.
 - Replacement of methyl by methoxy known to reduce pH of pyridine ring.
- Prior art need not point to a single lead compound to establish obviousness.

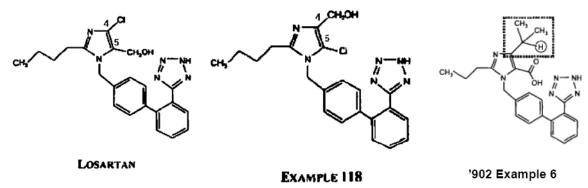


Daiichi Sankyo Co., Ltd. v. Matrix Labs., Ltd., 619 F.3d 1346 (Fed. Cir. 2010).

• Olmesartan medixoimil – angiotensin receptor blocker.



• Defendants argued olmesartan obvious over references that disclosed:



SAR suggested lipophilic substituent at 4-position



Daiichi Sankyo v. Matrix Labs.

- Federal Circuit affirmed holding that claim not obvious.
 - Obviousness based on structural similarity requires clear and convincing evidence that a medicinal chemist of ordinary skill would have been motivated to select and then to modify a prior art compound (*e.g.*, a lead compound) to arrive at a claimed compound with a reasonable expectation that the new compound would have similar or improved properties compared with the old.
 - No reason to choose '902 compounds as lead compounds, even considering more than one lead compound available: several other more potent and more studied compounds in the art.
 - Even if obvious to choose the '902 compounds as leads, prior art taught away from hydrophilic substituent at the 4-position of the imidazole ring.
 - Example 118 was only one of a small number of compounds with hydrohilic substituent at 4-position.
 - Mylan's obviousness argument depends on choosing the '902 compound as lead –improved potency by introducing more lipophilic alkyl group at 4position – then rejecting that feature to obtain olmesartan medoximil.

Thank you!

Questions?

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