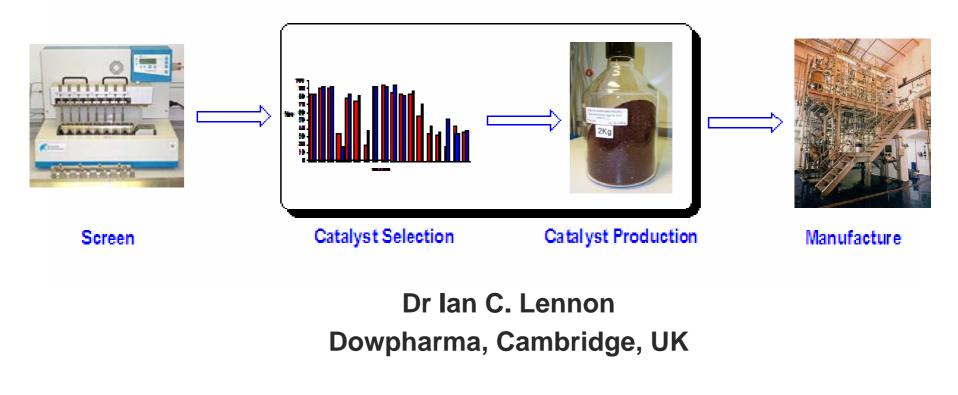


Process Aspects of Asymmetric Hydrogenation SCI Process Development Symposium 5th-7th December 2007





SM Service Mark of The Dow Chemical Company TM Trademark of The Dow Chemical Company



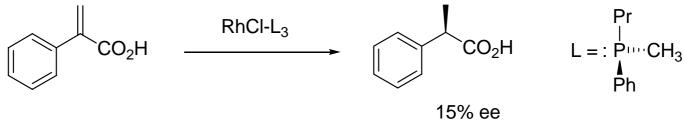
"Some" Process Aspects of Asymmetric Hydrogenation

- Introduction and overview
- Process requirements
- Catalyst Screening
- Precatalyst Manufacture
- Substrate Synthesis
- Scale-up Issues



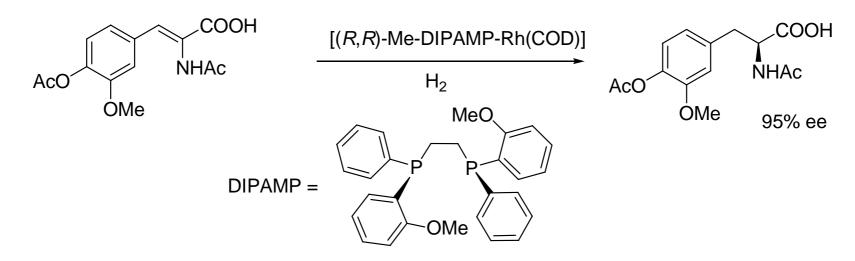
Early Applications of Asymmetric Hydrogenation



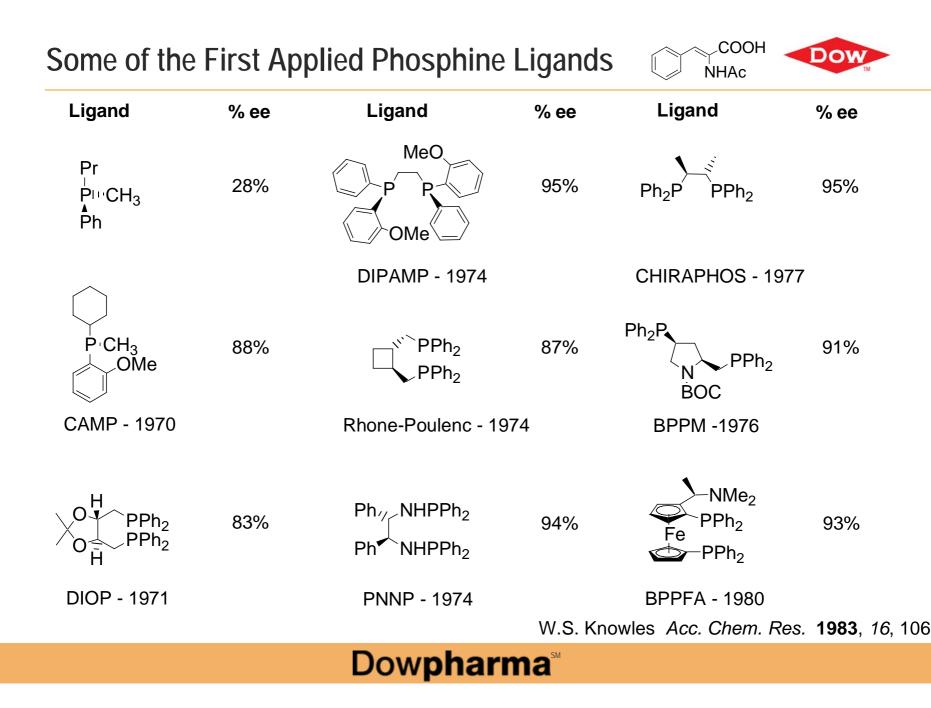


W.S. Knowles and M. J. Sabacky *Chem. Commun.*, **1968**, 1445 L. Horner et al. *Angew. Chem., Int. Ed. Engl.* **1968**, *7*, 942

Monsanto L-DOPA process

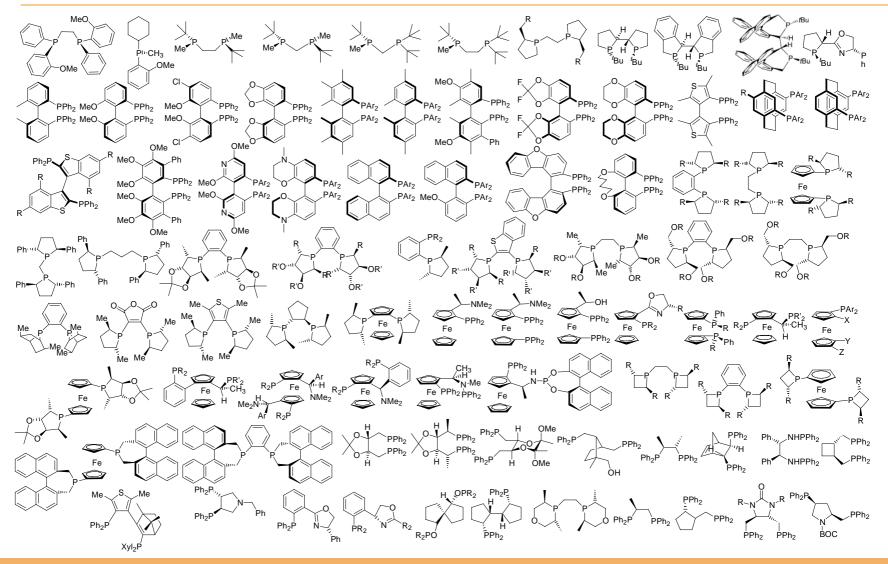


W.S. Knowles Angew. Chem., Int. Ed. 2002, 41, 1998

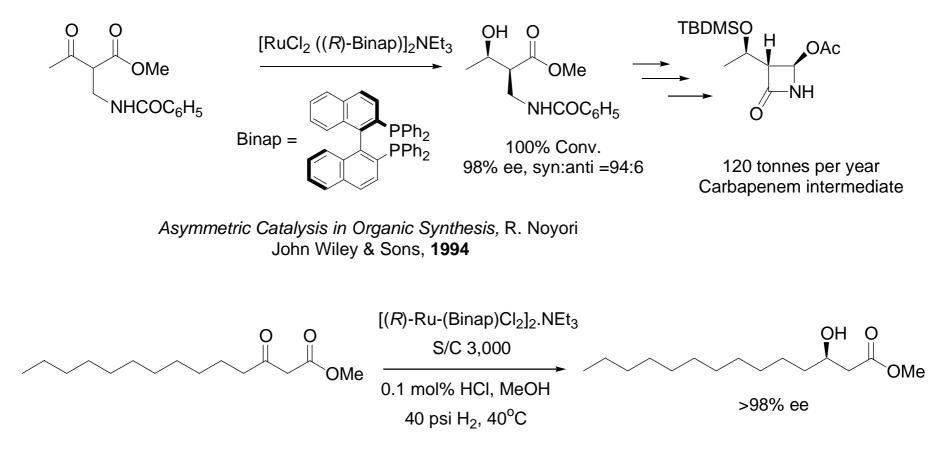


Some of the 3,000 Known Phosphine Ligands





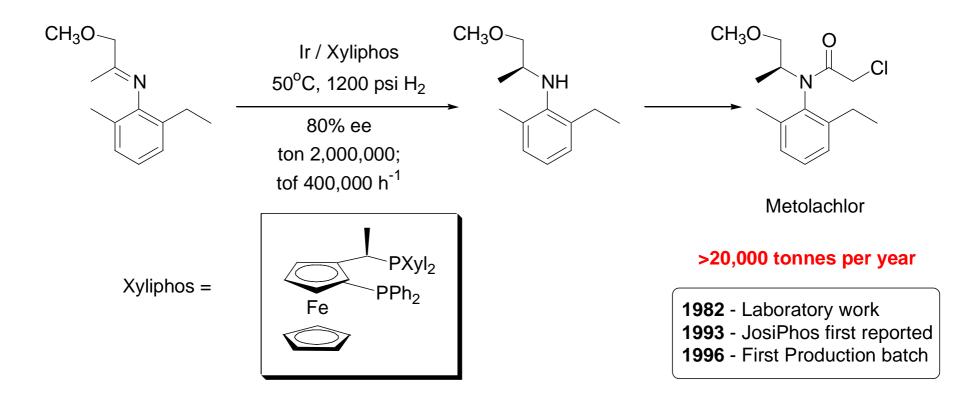




S. A. King et al J. Org. Chem. 1992, 57, 6689

Largest Scale Industrial Asymmetric Hydrogenation

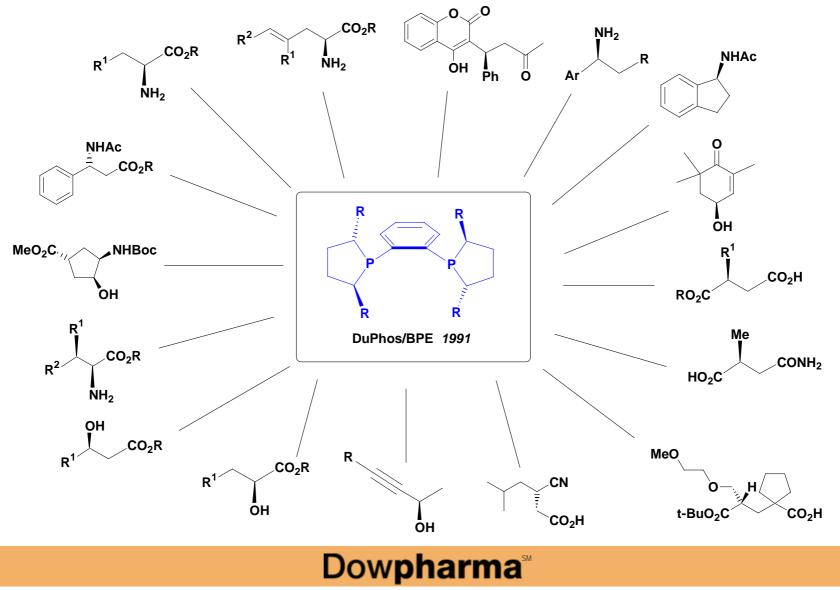




Hans-Ulrich Blaser Adv. Synth. Catal. 2002, 344, 17

Scope of the DuPhos / BPE Hydrogenation

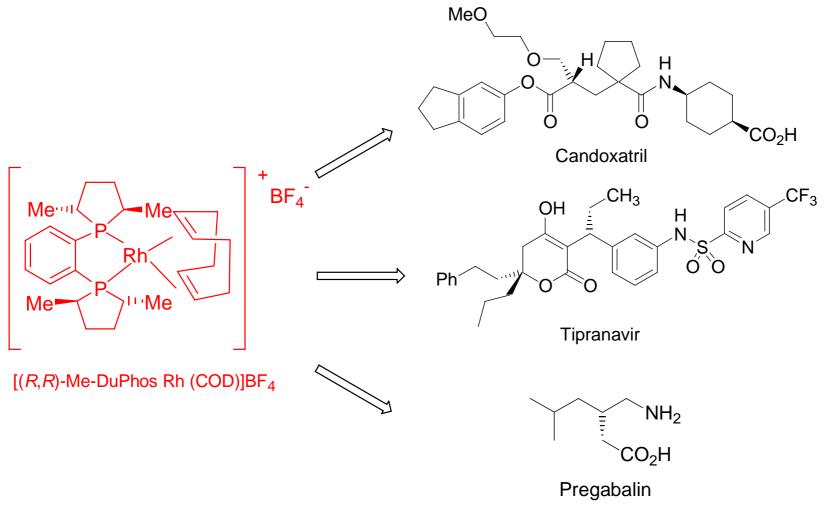




M. J. Burk Acc. Chem. Res. 2000, 33, 363

Applications of Rh-Me-DuPhos Catalyst

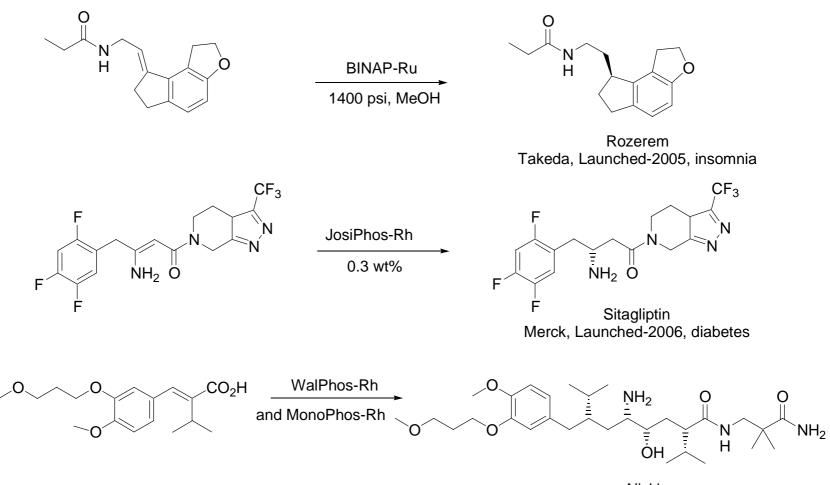




Reviews: Synthesis 2003, 1639; Curr. Opin. Drug Discovery Dev. 2003, 6, 855

FDA Approved Drugs and Asymmetric Hydrogenation





Aliskiren Novartis, Launched 2007, hypertension





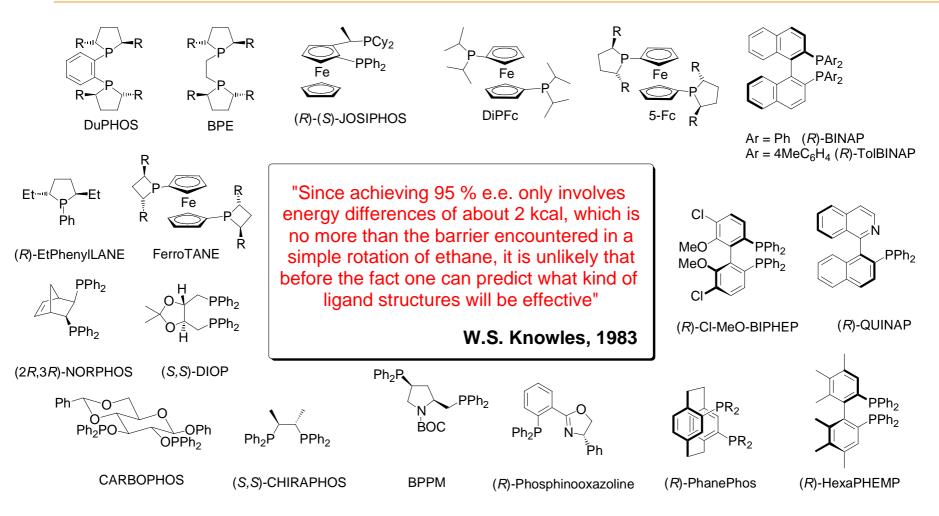
- Choice of Catalyst Complex screening
- Availability of the Chosen Catalyst (Security of Supply)
- Synthesis, Purity and Selection of Substrate
- Substrate to Catalyst Ratio (Activity of the catalyst)
- Enantiomeric Excess
- Choice of Solvent
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- Removal of spent catalyst from the product
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Screening for Asymmetric Hydrogenation



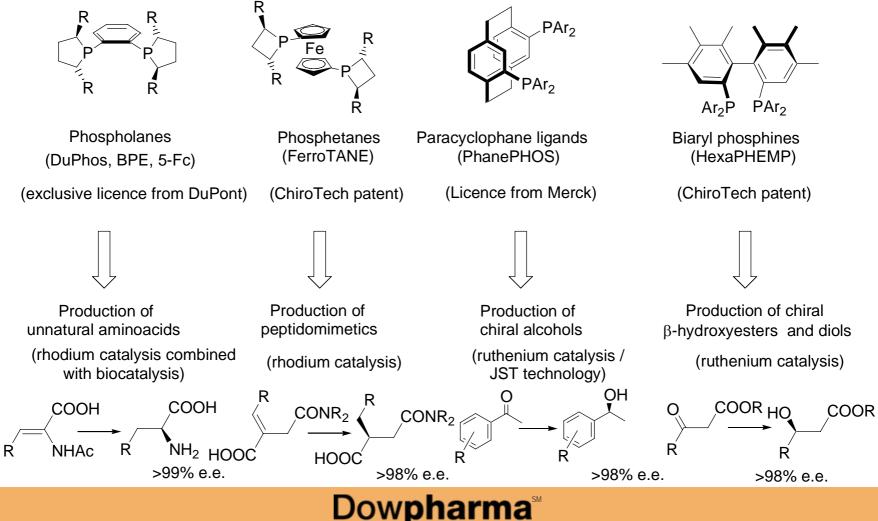


Ligand collection for asymmetric hydrogenation screening

Dowpharma Asymmetric Hydrogenation Screening

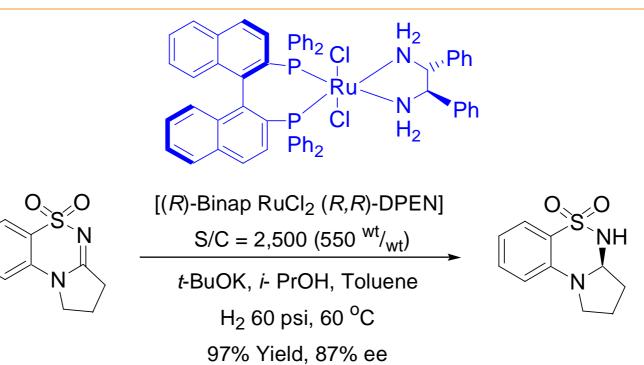


- More than 400 rhodium, ruthenium and iridium catalysts are available
- Proprietary ligands based on four major classes:



Thiadiazine Hydrogenation - Unbiased Catalyst Screening





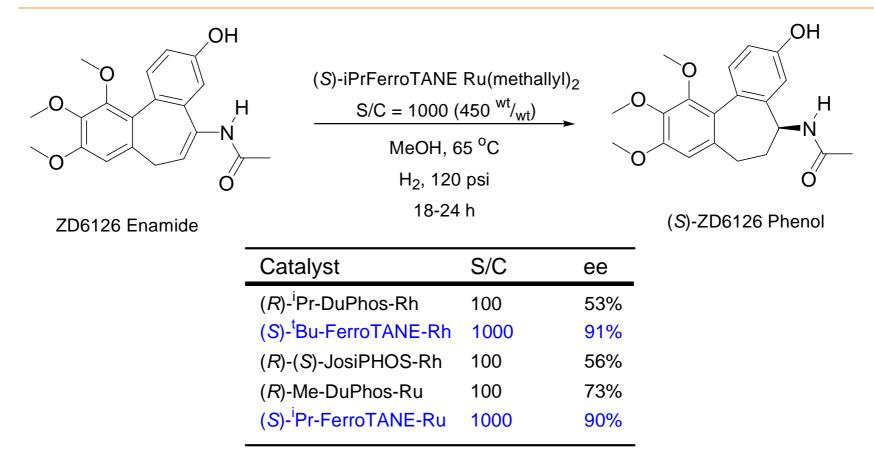
- Substrate inert to all other asymmetric hydrogenation reactions
- Best system identified was not proprietary to Dowpharma
- ✤ An equivalent of base required for full conversion.
- Product crystallises to >99% ee

Dowpharma/Oril Joint Publication: Tetrahedron Asymmetry 2003, 14, 3431



Asymmetric Hydrogenation Screen for ZD-6126 Enamide





- Project carried out with AstraZeneca, Macclesfield.
- Over 100 Rh, Ru and Ir catalysts screened.
- Suitable Rh and Ru catalysts were identified, based on the FerroTANE ligand

Dow**pharma**[™]

Joint Publication Tetrahedron Lett. 2007, 48, 4623-4626

Catalyst Screen for Diphenylalanine



AcHN CO_2Me S/C = 250 MeOH, H ₂ (140	· 	CO ₂ Me	
Precatalyst	t _{1/2}	Temp	ee
[(<i>R,R</i>)-Me-BPE Rh COD]BF ₄	1 h 25 m	60 °C	70%
[(<i>R,R</i>)-PhanePhos Rh COD]BF ₄	2.5 m	60 °C	88%
[(<i>R,R</i>)-Me-BPE Rh COD]BF ₄	3 h 9 m	50 °C	76%
[(<i>R,R</i>)-PhanePhos Rh COD]BF ₄	3 m	50 °C	88%
[(<i>R</i> , <i>R</i>)-Me-FerroTANE Rh COD]BF ₄	13 m	50 °C	72%
[(<i>R,R</i>)-Me-5-Fc Rh COD]BF ₄	2 m	50 °C	75%
[(<i>R,R</i>)-Ph-BPE Rh COD]BF ₄	3 h 23 m	50 °C	94%
[(<i>R,R</i>)-Bis P* Rh COD]BF ₄	5 h 17 m	50 °C	51%
[(<i>R,R</i>)-Et-DuPhos Rh COD]BF ₄	nd	50 °C	53%

- PhanePhos provides the most active catalyst system
- Diphenylalanine derivatives have been manufactured on a ton scale

Dow**pharma**[™]

See Dowpharma Patent Application WO 2006/127273



- Choice of Catalyst Complex screening
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Commercial Scale Precatalyst Manufacture

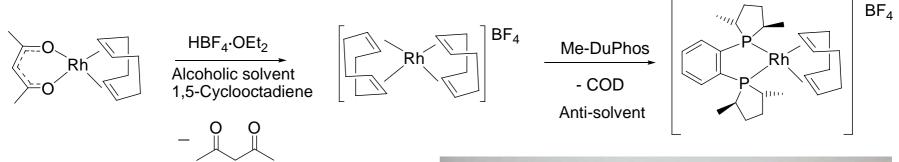


- Dowpharma has >12 years of asymmetric hydrogenation experience
- >10 precatalysts made on a Kg+ scale
- [Me-DuPhos Rh(COD)]BF₄ available on a multi-10's kg scale
- Rh-PhanePhos precatalyst produced on a multi-kilogram scale
- Many metric tons of chiral products are manufactured using our asymmetric hydrogenation technology





[Me-DuPhos Rh (COD)]BF₄ – A Historical Perspective



- Insoluble intermediate
- Low recovery
- Low volume efficiency
- Unpredictable product form



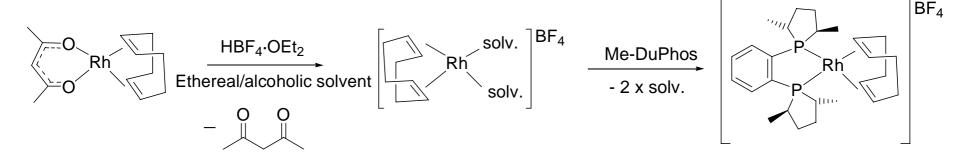
Catalyst Scale-Up



- Soluble SM & reactive intermediate
- High volume efficiency
- ~2.5kg from 20L vessel
- Uniform granular product form

- T_{react} reduced from 78°C to 55°C
- Product isolated at RT
- Productivity increased ~100% / volume
- Recovery increased from 75 to 96%

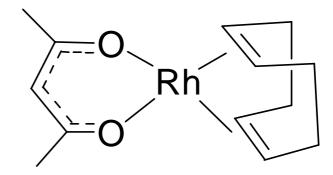




Catalyst Scale-Up: Test-Rig Reactions





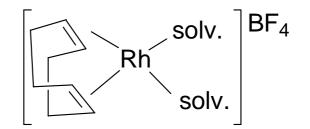


1. [Rh(COD)acac] in ethereal solvents

Catalyst Scale-Up: Test-Rig Reactions





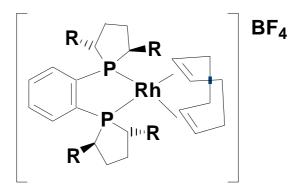


- 1. [Rh(COD)acac] in ethereal solvents
- 2. Add acid in alcoholic solvent

Catalyst Scale-Up: Test-Rig Reactions





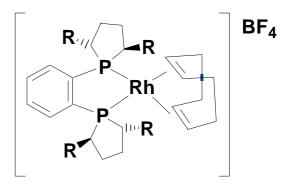


- 1. [Rh(COD)acac] in ethereal solvents
- 2. Add acid in alcoholic solvent
- 3. Add ligand in ethereal solvent

Catalyst Scale-Up: Isolated Catalyst



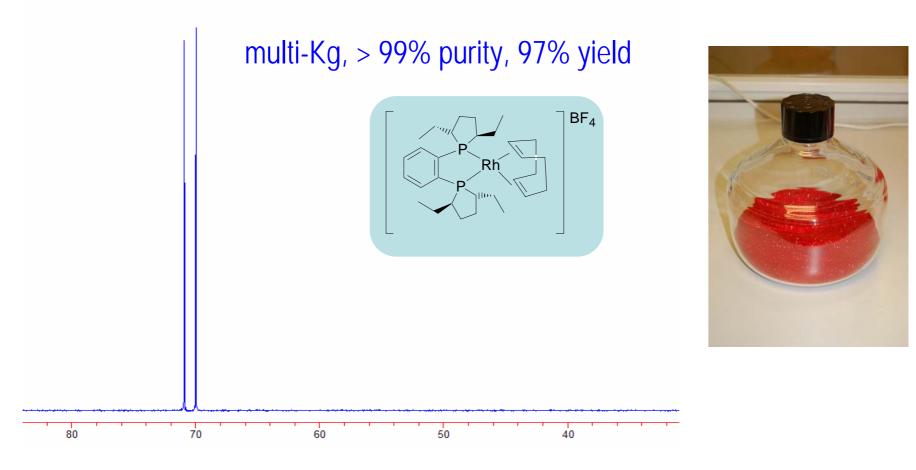




- 1. [Rh(COD)acac] in ethereal solvents
- 2. Add acid in alcoholic solvent
- 3. Add ligand in ethereal solvent
- 4. Isolate robust material in high yield
- 5. Optional recrystallisation



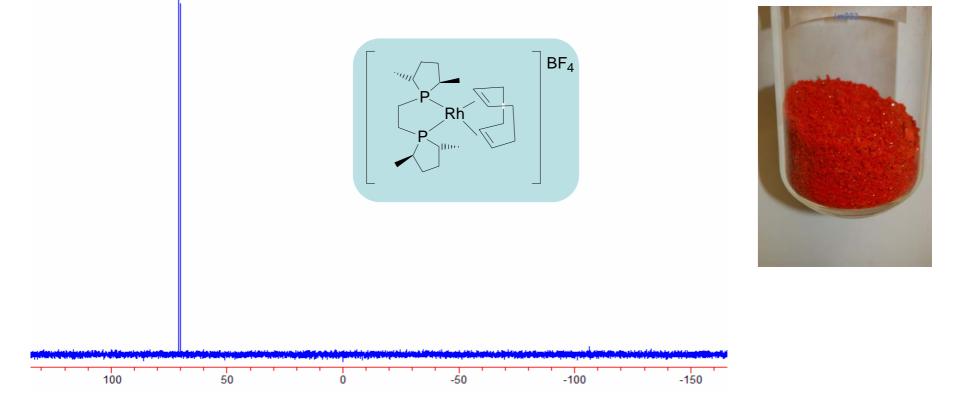
³¹P-NMR – Isolated material at 25 °C





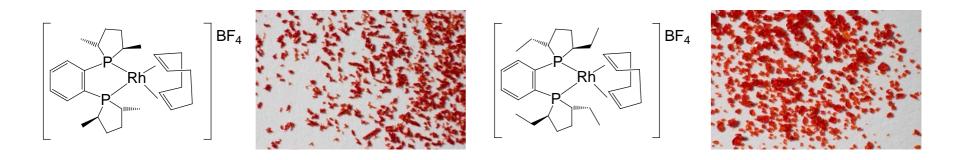
Isolated material

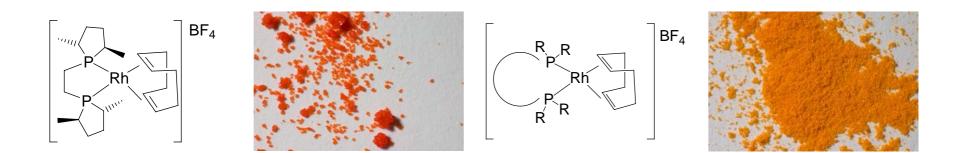
>Kg, > 99% purity, 92.0% yield



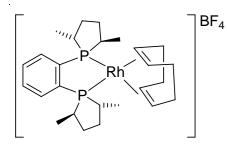


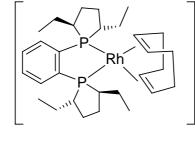
Isolated Catalysts – Product form comparisons

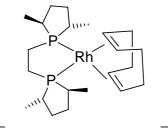




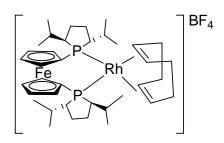








 BF_4



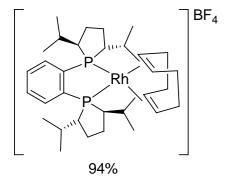
95%

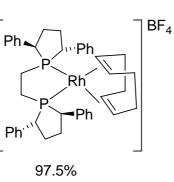
97%

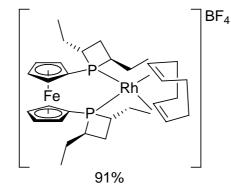
%

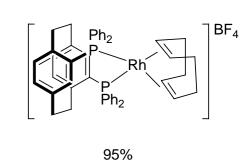
96.4%

97%









WO 2005032712

- Widely applicable to typical phosphine ligands
- ✤ 10 proprietary systems operated at Kg scale

 BF_4

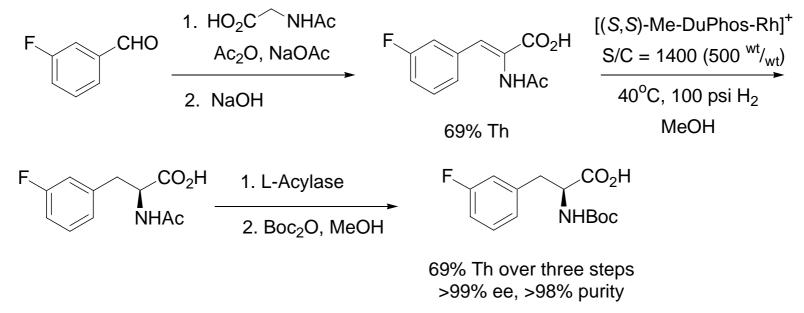
✤ Largely compatible with analogous iridium complexes



- Choice of Catalyst Complex screening
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- Reactor Configuration, e.g. Agitation

Substrate Synthesis: Manufacture of α -Amino Acids





Key Issues

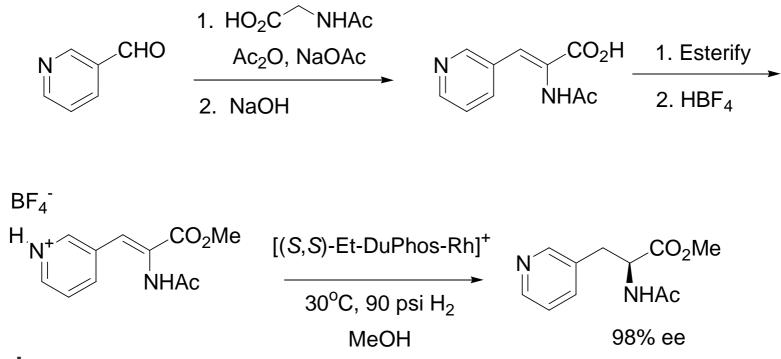
- Use of Erlenmeyer reaction is preferable to Horner-Emmons chemistry, which can give low level of phosphorus impurities that poison catalysis
- Erlenmeyer route is scaleable and cost effective
- Conditions for the DuPhos Hydrogenation are mild and scaleable
- Many 100's kg of product have been made using this route

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Asymmetric Catalysis on Industrial Scale Eds Blaser and Schmidt. Page 269.

Substrate Synthesis: Manufacture of α -Amino Acids





Key Issues

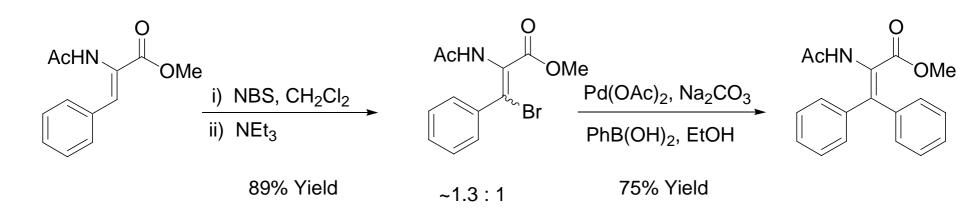
- Competitive binding to the pyridyl group made the catalysis inefficient
- The optimum substrate was the methyl ester-HBF₄ salt
- ✤ >200 Kg produced

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Asymmetric Catalysis on Industrial Scale Eds Blaser and Schmidt. Page 269.

Diphenylalanine – Substrate Synthesis

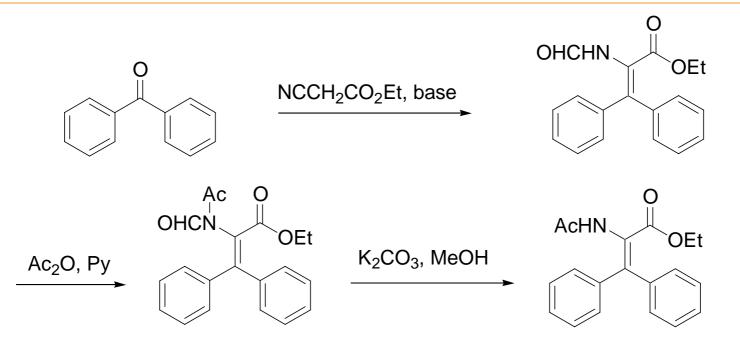




- Substrate synthesized according to Burk et al. *Tetrahedron Lett.* 1997, 38,1309, using the Suzuki conditions of Deng et al. *Synthesis* 2003, 337
- Commercially available dehydroamino acid and boronic acid
- Both steps good yield
- Alternative substrate synthesis devised

Diphenylalanine- Alternative Substrate Synthesis

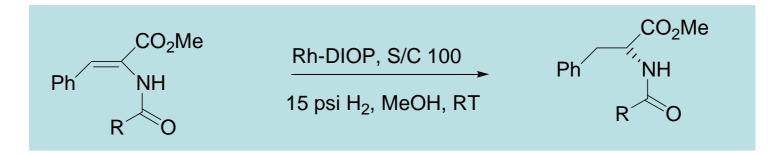




- Synthesis of the N-Formyl intermediate followed procedures reported in Bioorg. Med. Chem. Lett. 1992, 2, 1085
- Commercially available ketone and isocyanide
- *N*-protecting group exchange required, *N*-formyl is a poor hydrogenation substrate

Substrate Selection: Directing Groups



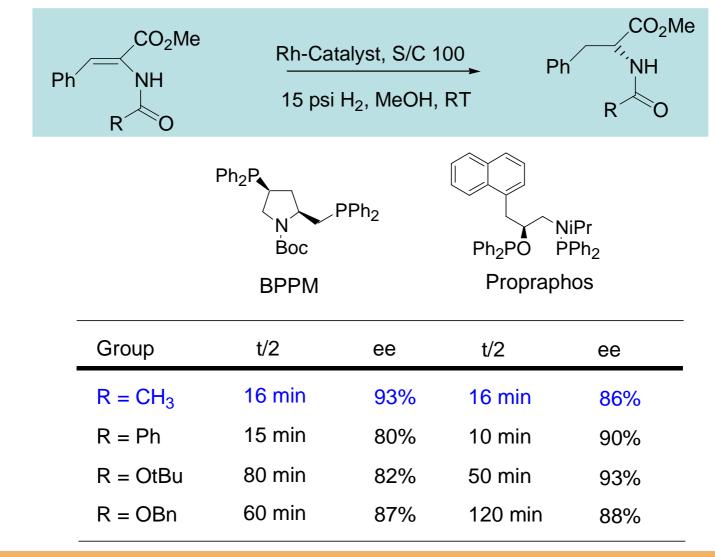


Group	t/2 C	onversion	ee
R = H	-	40	60%
$R = CH_3$	15 min	100	83%
R = Ph	16 min	100	68%
R = OBn	50 min	100	33%
$R = O^t Bu$	60 min	100	9%
$R = CF_3$	-	58	16%

Tetrahedron 1979, 35, 2381; Tetrahedron: Asymm. 1993, 4, 2047

Substrate Selection: Directing Groups



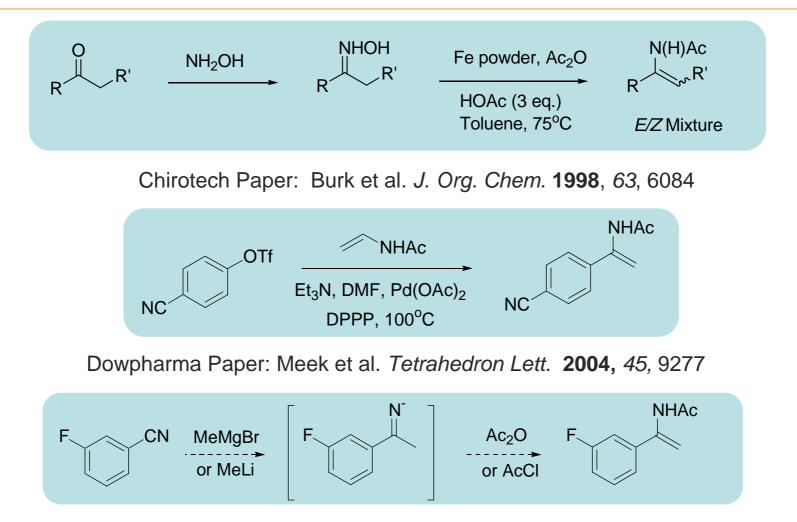


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Tetrahedron: Asymm. **1993**, *4*, 2047

Syntheses of Enamide Substrates

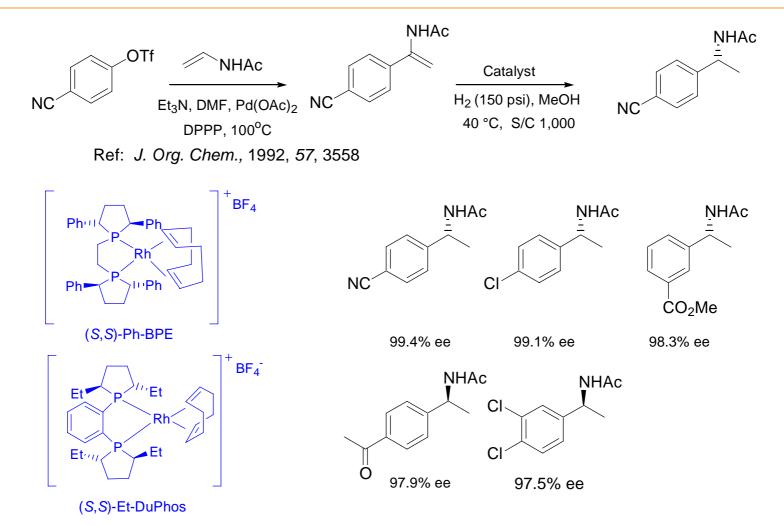




Burk et al. J. Am. Chem. Soc. 1996, 118, 5142 (supporting info.)

Enamide Substrate Synthesis – Heck Coupling

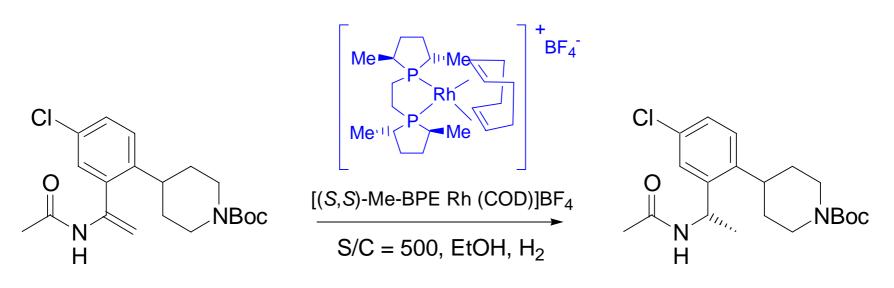




Dowpharma Paper: Tetrahedron Lett. 2004, 45, 9277

Merck Enamide Hydrogenation



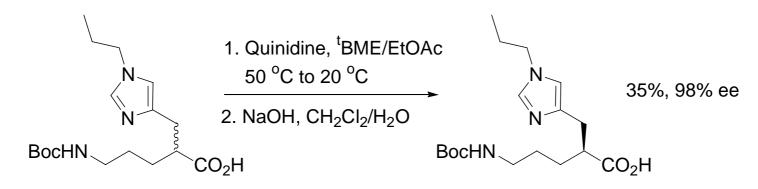


^{88%} ee, 100% assay yield

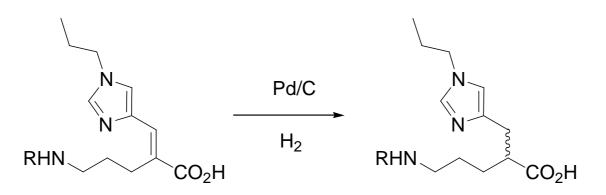
- Substrate synthesised using the Benzonitrile method
- Purification of the substrate was found to be important to obtain a robust process
- Numerous ligands screened, best results with BPE, Binaphane and DuPhos
- ✤ BPE gave the best catalyst loadings (See WO 2006/057904)
- ✤ Me-BPE-Rh catalysts are relatively low molecular weight, S/C 500 = 345 ^{wt}/_{wt}
- Precatalyst supplied by Dowpharma

Process Issue - Choice of Technology





- Diastereoisomeric salt resolution gave the product in good e.e.
- The nature of a resolution, the yield is low, but a quick solution
- Need a higher yielding route to meet manufacturing requirements



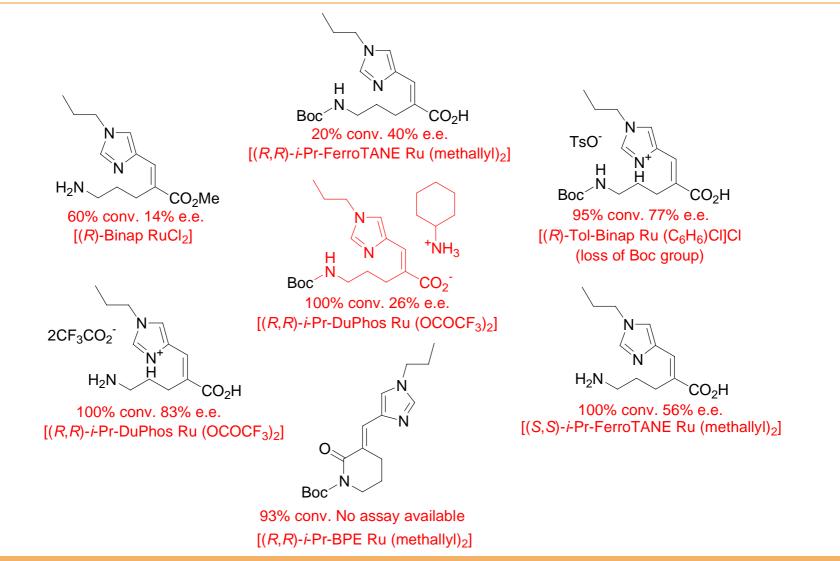
Route to racemic imidazole is via Pd/C reduction

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Pfizer & Dowpharma Paper: Org. Lett., 2005, 7, 1931

Process Issue – Selecting the Right Substrate



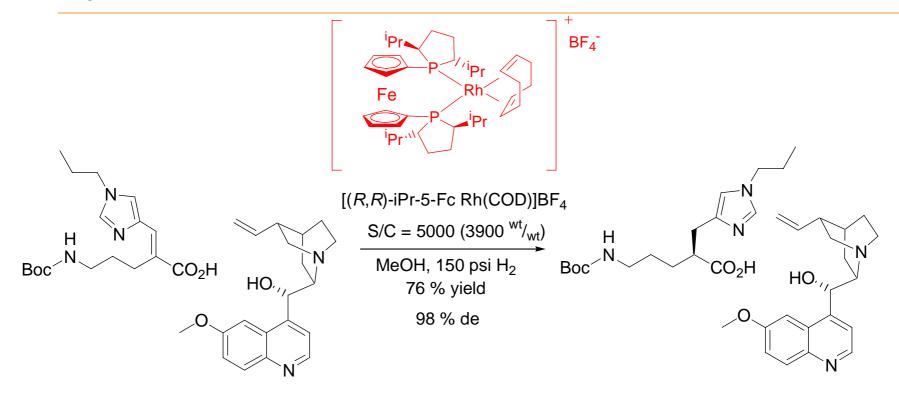


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Pfizer & Dowpharma Paper: Org. Lett., 2005, 7, 1931

Optimised Process for a δ-Amino Acid





- After an extensive screen of 8 substrates and >100 catalysts we found that the quinidine salt could be hydrogenated in good de.
- ✤ We chose the most <u>active</u> and not the most selective catalyst for this process
- Compared with the classical resolution we were able to double the yield of product. Pfizer made >20 Kg by this route.

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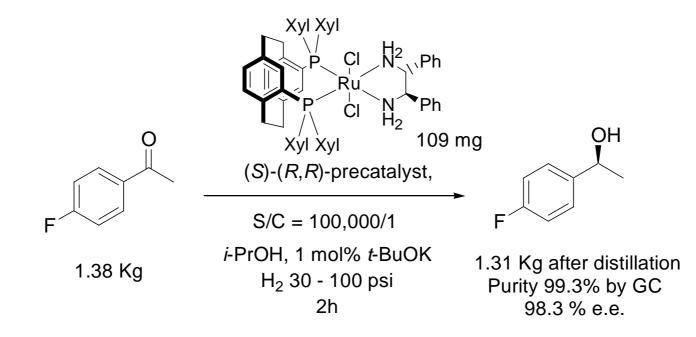
Pfizer & Dowpharma Paper: Org. Lett., 2005, 7, 1931



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Substrate Purity for Ketone Hydrogenation





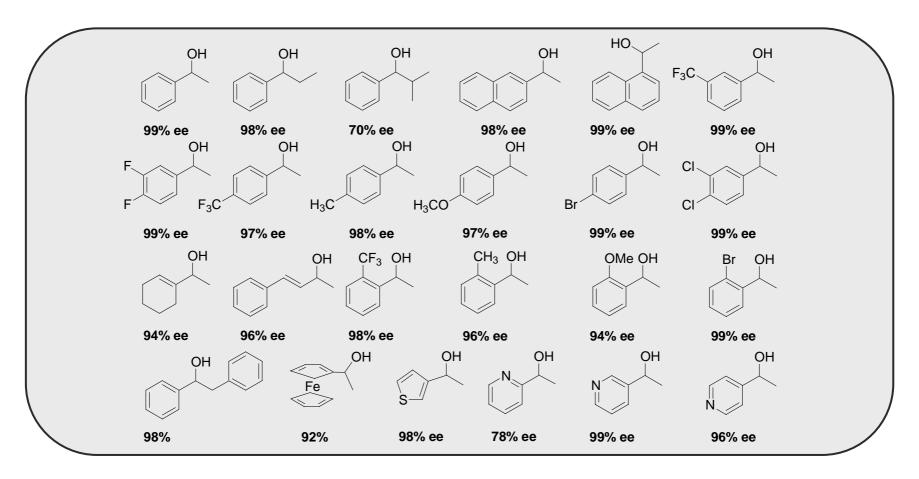
- PhanePhos-based ligand best
- Substrate distilled before use. No reaction with commercial substrate
- S/C 100,000 : 1 achieved (13,000/1 wt/wt)
- Several other examples at 100s kg scale

Org. Lett. 2000, 2, 4173 and Org. Process Res. Dev. 2003, 7, 89

Chiral Alcohols: Asymmetric Hydrogenation



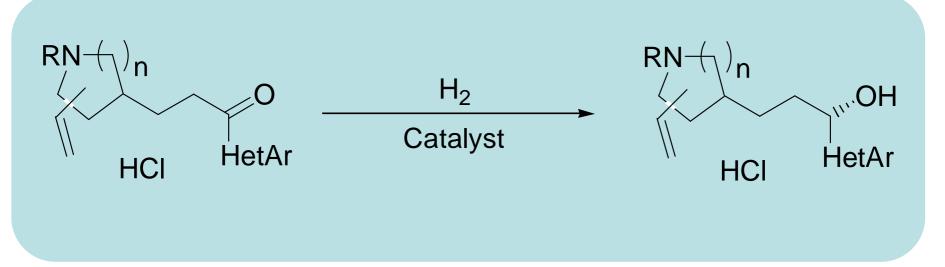
Single enantiomer alcohols made using ketone hydrogenation



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Dowpharma Papers: Org. Lett. 2000, 2, 4173 and Org. Process Res. Dev. 2003, 7, 89



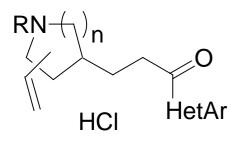


<u>Aims</u>

- ✤ >95% de
- ✤ >95% chemical purity
- ✤ >80% overall yield
- Minimal reduction of the olefin

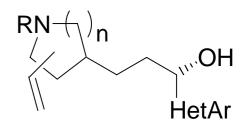
Catalyst Screen and Loading





Pre-catalyst

KO^tBu/^tBuOH (1M, 1.2 eq), *i*-PrOH H₂ (120 psi), 40 °C, 150 mg/ml



Pre-catalyst	S/C	Timeª (min)	Conv. (%)	Over-reduction (%)	de (%)
[(<i>R</i>)-HexaPHEMP RuCl ₂ (<i>R</i> , <i>R</i>)-DPEN]	10,000	60	100	1.3	96
[(<i>R</i>)-HexaPHEMP RuCl ₂ (<i>R</i> , <i>R</i>)-DPEN]	50,000	270	95	1.2	96
[(S)-PhanePhos RuCl ₂ (R,R)-DPEN]	10,000	250	100	1.0	92

- ✤ HexaPHEMP provided the best de and least olefin reduction
- ✤ Starting material contains 0.9% olefin-reduced material



- S/C 10000-11000, 5 mol% KO/Bu//BuOH (1M)
- Pre-catalyst added as a solid
- ✤ >97% de produced in all batches
- ✤ Four campaigns making >500 Kg product
- Rapid implementation of the process from the laboratory to the plant



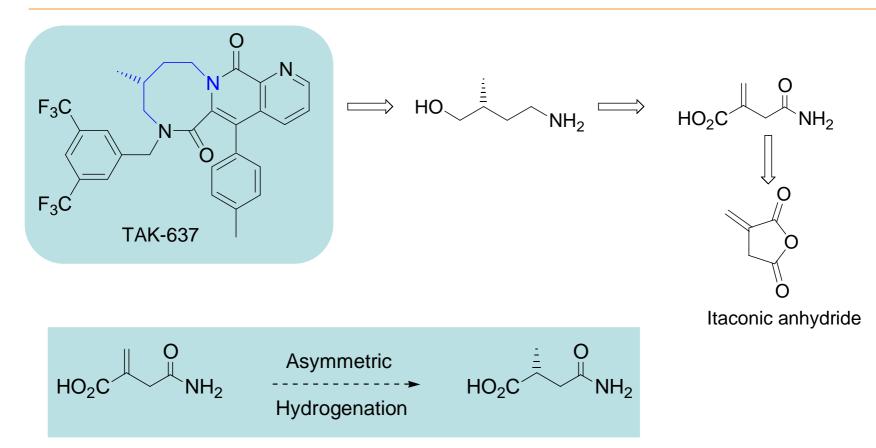


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Reactor Configuration, e.g. Agitation

Case Study: Methylenesuccinamic acid Hydrogenation

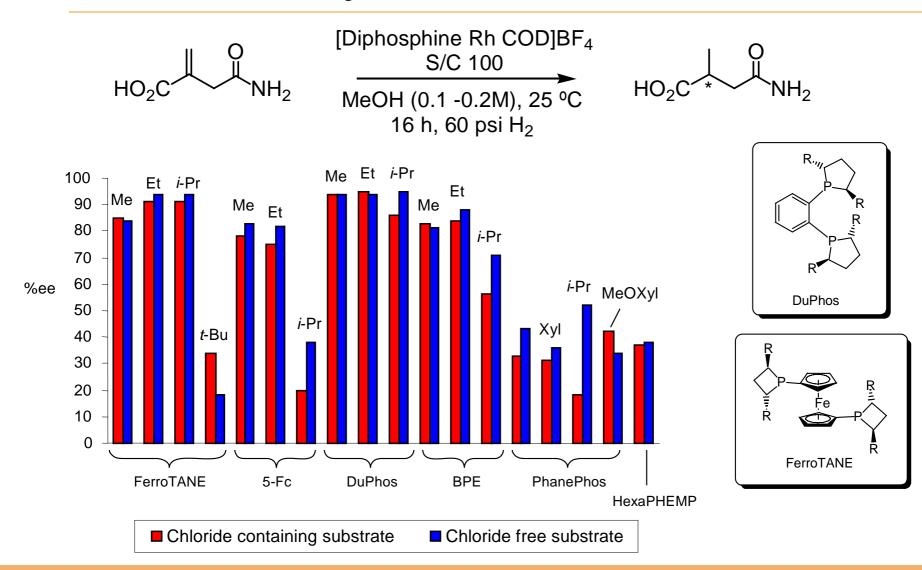




- Substrate readily made from Itaconate anhydride by reaction with NH₄OH
- Reaction was quenched with HCI according to literature preparation

Screen of Rh Precatalysts







	osphine I S/C 1 IeOH (0.	·	$HO_2C \times NH_2$		
Precatalyst	Temp (℃)	H ₂ Pressure (psi)	TOF (h ⁻¹)	Conv. (%)	ee (%)
[(S , S)-Et-DuPhos Rh COD]BF ₄	0	60	18	33	93 (<i>R</i>)
"	20	60	250	> 98	94 (<i>R</i>)
"	45	60	430	> 98	96 (<i>R</i>)
"	20	140	500	> 98	97 (<i>R</i>)
"	45	140	667	> 98	95 (<i>R</i>)
[(<i>S,S</i>)-Et-FerroTANE Rh COD]BF ₄	20	60	500	> 98	87 (<i>R</i>)
"	20	140	1333	> 98	72 (<i>R</i>)
	45	140	3000	> 98	86 (<i>R</i>)

Enantioselectivity is retained at higher temperatures and pressures for Et-DuPhos-Rh catalyst



$HO_{2}C \xrightarrow{O} NH_{2} = \begin{bmatrix} (S,S)-Et-DuPhos Rh COD]BF_{4} \\ S/C = 1,000 \\ \hline Solvent (0.3 M), 25 °C \\ 16 h, 140 psi H_{2} \end{bmatrix} HO_{2}C \xrightarrow{V} N$					
Solvent	Conv. (%)	ee (%)	Solvent	Conv. (%)	
MeOH	> 98	97 (<i>R</i>)	EtOAc	21	
EtOH	37	84 (<i>R</i>)	CH ₂ Cl ₂	2	
<i>i</i> -PrOH	87	97 (<i>R</i>)	Acetone	9	
CF ₃ CH ₂ OH	5	-	Toluene	0	
THF	24	17 (<i>S</i>)	α, α, α Trifluorotoluene	e 0	

- ✤ Alcoholic solvents are generally the best for asymmetric hydrogenation
- Methanol is probably the most commonly used solvent
- This is very much substrate and type of hydrogenation dependant
- For example [Diphosphine RuCl₂ Diamine] hydrogenations require 'PrOH

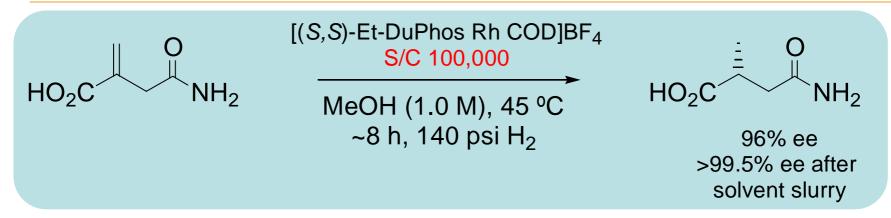


HO ₂ C	HO ₂ C NH ₂ $[(S,S)$ -Et-DuPhos Rh COD]BF ₄ MeOH (1.0 M) 45 °C, 140 psi H ₂			HO ₂ C	O NH ₂
S/C	Substrate Input	TOF (h ⁻¹)	Time	Conv. (%)	ee (%)
1,000	15 g	513	1 h 57 min	> 98	97 (<i>R</i>)
1,000	15 g	15000	4 min	> 98	96 (<i>R</i>)
1,000	15 g	1935	31 min	> 98	97 (<i>S</i>)
5,000	15 g	12500	24 min	> 98	97 (<i>R</i>)
10,000	15 g	13043	46 min	> 98	96 (<i>R</i>)
20,000	15 g	11765	1 h 42 min	> 98	97 (<i>R</i>)
50,000	40 g	12397	4 h 2 min	> 98	97 (<i>R</i>)
100,000	40 g	13423	7 h 27 min	> 98	96 (<i>R</i>)

- Removal of chloride increases rate by factor of 30
- This effect was reproduced by preparing the precatalyst from [RhCl(COD)]₂ & 2 eq. of (R,R)-Et-DuPhos

Asymmetric Hydrogenation of Methylenesuccinamic acid





- Chloride impurity identified that limited S/C to 1000:1
- Synthesis of substrate from itaconic anhydride was modified
- ✤ Complete conversion with 96 % e.e. at S/C 100,000:1 (^w/_w ~21,400)
- Upgraded to 99.5 % e.e. with a single reslurry (MeOH)
- Rh content reduces from 9.0 ± 0.4 ppm to 0.88 ± 0.05 ppm (36 ± 1 ppm to 9.8 ± 0.4 ppm for S/C 20,000)

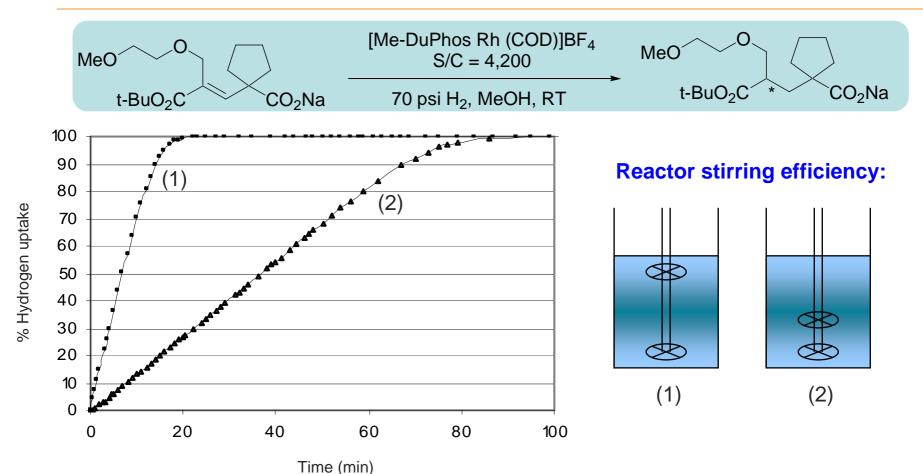
Dowpharma paper: Org. Process Res. Dev. 2003, 7, 407



- Choice of Catalyst Complex screening
- Availability of the Chosen Catalyst (Security of Supply)
- Synthesis, Purity and Selection of Substrate
- Substrate to Catalyst Ratio and Purity of Substrate
- Enantiomeric Excess
- Choice of Solvent
- Concentration, Temperature, Pressure
- Removal of spent catalyst from the product
- Reactor Configuration, e.g. Agitation

Process Issue – Reactor Configuration

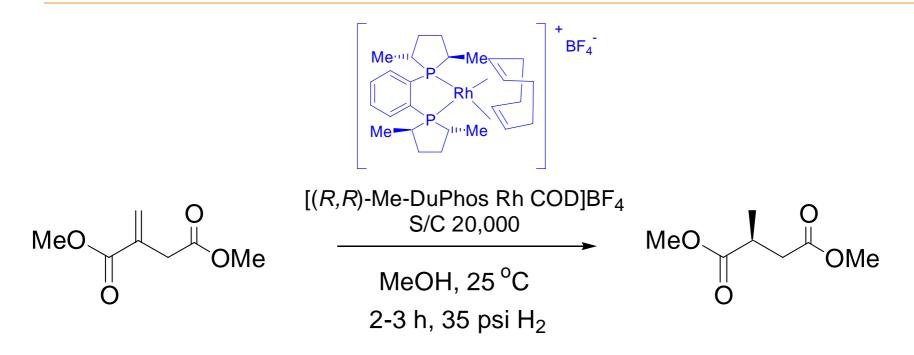




- Hydrogen availability is a critical process parameter
- Non-optimal stirring and hydrogen absorption can lead to slow/failed reactions

Large Scale Asymmetric Hydrogenation of DMI





- Ton-scale manufacture (Molar S/C 20,000 = 5,200 ^{wt}/_{wt})
- Consistent >97 ee obtained over multiple runs
- Reaction time typically 2-3 hours
- Commercial Manufacture carried out in Midland, Michigan

Dow**pharma**™

Conclusions



- There has been an increasing number of asymmetric hydrogenation processes carried out on a manufacturing scale over the last 4 decades
- Asymmetric hydrogenation technology is now routinely used for the manufacture of pharmaceutical intermediates
- With a greater number of catalyst systems available and a better understanding of the process issues surrounding asymmetric hydrogenation technology, there are increased applications for this technology – e.g. Tipranavir, Rozerem, Sitagliptin and Aliskiren
- Dowpharma technology is available for customers to use in their own, preferred third party suppliers or Dowpharma facilities
- Security of supply of the catalyst is of paramount importance



Lee Boulton

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Graham Meek

Paul Moran

Justine Peterson

Jim Ramsden

Dowpharma/Chirotech Colleagues Past & Present

